

Polar Investment Counsel, Inc.

Brokers and Investment Advisors; Member NASD, NFA, MSRB, SIPC

Website: www.polarinvest1.com

DBA DISCLOSURE

PICI management has determined that the use of DBA names may present extraordinary risk to both the broker and the firm; therefore the (annual) fee for the use of a DBA name begins at \$5000 annually.

Brokers are reminded that many states DO NOT permit the use of DBA names.

Complete the information requested below and forward original to PICI Home Office, Compliance Department.

Rep Name: _____ Rep ID: _____

State of Domicile: _____ Using DBA name? Yes No

DBA name being used: _____

List all states in which DBA name is used and/or registered:

Registered Representative Signature

Dated

Office#

Rep ID#